

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                               |   |                   |
|-------------------------------|---|-------------------|
| In re Patent Application of:  | ) | Atty. Docket No.: |
| DOUGHERTY ET AL.              | ) | 78295 (25-15 US)  |
|                               | ) |                   |
| Serial No. 10/629,143         | ) | Art Unit: 2874    |
|                               | ) |                   |
| Filing Date: JULY 29, 2003    | ) | Examiner:         |
|                               | ) | JULIANA K. KANG   |
| Confirmation No. 6592         | ) |                   |
|                               | ) |                   |
| For: POLARIZATION COMPENSATED | ) |                   |
| OPTICAL TAP                   | ) |                   |
|                               | ) |                   |

CITATION UNDER 37 CFR §1.97

**MAIL STOP AMENDMENT**

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450  
U.S.A.

Sir:

Attached is form PTO-1449 listing references for consideration in the examination of the above-identified application. It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97 and Section 609 of the MPEP.

This submission is made in accordance with the provisions of 37 CFR §1.97(c). Please charge Deposit Account No. 50-1465 the amount of \$180.00 to cover the processing fee. If any additional extension and/or fee is required, or if any

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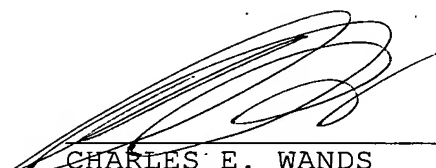
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In re Patent Application of:  
**DOUGHERTY ET AL.**  
Serial No. **10/629,143**  
Filed: **07/29/2003**

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additional fee for claims is required, authorization if given  
to charge Deposit Account No. **50-1465**.

Respectfully submitted,



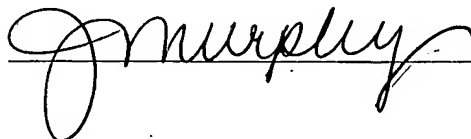
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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being  
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1450, on this 5 day of July, 2005.



|   |   |                                     |
|---|---|-------------------------------------|
| <b>Form PTO 1449</b><br><br><b>U.S. Department of Commerce</b><br><b>Patent and Trademark Office</b><br><br>Information Disclosure Statement<br>by Applicant<br> | <b>ATTY. DOCKET NUMBER:</b><br>25-15 US (78295) | <b>SERIAL NUMBER:</b><br>10/629,143 |
|   | <b>APPLICANT:</b><br>DOUGHERTY ET AL            |                                     |
|   | <b>FILING DATE:</b><br>JULY 29, 2003            | <b>GROUP:</b><br>2874               |

**U.S. Patent Documents**

| EXAMINER<br>INITIAL |  | DOCUMENT NUMBER | DATE          | NAME   | CLASS | SUBCLASS | FILING<br>APP |
|---------------------|--|-----------------|---------------|--------|-------|----------|---------------|
|                     |  | 6,807,004       | Oct. 19, 2004 | Carver | 359   | 487      |               |
|                     |  |                 |               |        |       |          |               |
|                     |  |                 |               |        |       |          |               |
|                     |  |                 |               |        |       |          |               |
|                     |  |                 |               |        |       |          |               |
|                     |  |                 |               |        |       |          |               |

**Foreign Patent Documents**

|  |  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|--|-----------------|------|---------|-------|----------|-------------|----|
|  |  |                 |      |         |       |          | YES         | NO |
|  |  |                 |      |         |       |          |             |    |
|  |  |                 |      |         |       |          |             |    |

**Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)**

|  |  |   |
|--|--|---|
|  |  | Marcatili, "Bends in optical dielectric guides", Bell Syst. Tech. J., vol. 48, Sept. 1969, pp. 2103-2132. |
|  |  |   |
|  |  |   |
|  |  |   |
| EXAMINER   |  | DATE CONSIDERED   |
| <i>EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant</i> |  |   |